

**HL156 compounds, novel metformin
derivatives, inhibit angiogenesis through AMPK
mediated downregulation of FOXM1
in gastric cancer cells**

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The Graduate School, Yonsei University

**HL156 compounds, novel metformin
derivatives, inhibit angiogenesis through AMPK
mediated downregulation of FOXM1
in gastric cancer cells**

Directed by Professor Jae-Ho Cheong

The Master`s Thesis

Submitted to the Department of Medical Science

the Graduate School of Yonsei University

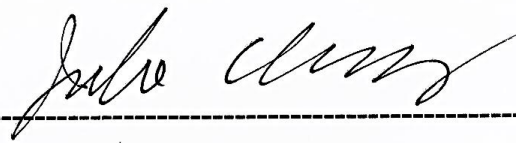
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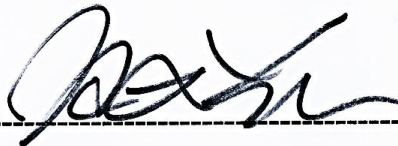
Hae-Ji Choi

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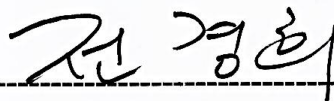
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ABSTRACT

HL156 compounds, a novel metformin derivative, inhibit angiogenesis through AMPK mediated downregulation of FOXM1 in gastric cancer cells

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(Directed by Professor Jae-Ho Cheong)

Metformin, which is widely used as an anti-diabetic drug, has recently gained significant attention as a potential anti-cancer agent. Although a couple of potential mechanisms explaining anti-tumor effects have been suggested, its detailed molecular mechanisms remain elusive. HL156 compounds are novel biguanide derivatives based on metformin formula with more potent anti-tumor effects than

metformin. In cell based assays, HL156 activates AMP-activated kinase (AMPK) more potently than metformin in various cancer cell lines.

Our preliminary analysis of Reverse phase protein array (RPPA) data from gastric cancer patients indicated that proteins related to regulating angiogenesis were increased in tumors of patients with poor prognosis. In addition, a pilot *in vivo* efficacy study showed that HL156 significantly suppressed metastasis in breast cancer xenograft models.

Motivated by these, we hypothesized that HL156 would inhibit angiogenesis that is one of the critical steps of metastatic process. Further, the anti-angiogenic mechanism of HL156 would be mediated through AMPK activation which downregulated oncogenic transcription factor FOXM1.

Culturing HUVEC cells in the conditioned media treated with HL156 compounds to gastric cancer cells downregulated *in vitro* endothelial cell network formation. In the same conditioned media, the expression of VEGFA, a major angiogenic factor, was prominently suppressed. Also, the expression of FOXM1, a pleiotropic oncogenic transcription factor, was inhibited by HL156, which is correlated with activation of AMPK. To further interrogate the molecular mechanisms by which FOXM1 was down-regulated, we assessed CDK4/6 expression in gastric cancer cells after HL 156 treatment. CDK4/6, which phosphorylates and activates FOXM1, was downregulated leading to the decrease of phospho-FOXM1 (Ser35) level. Electrophoretic mobility shift assay showed that HL156 treatment repressed the FOXM1 binding to the VEGFA promoter.

Taken together, these results suggest that HL156 alleviates tumor angiogenesis by VEGFA inhibition through AMPK mediated CDK4/6 - FOXM1 downregulation in gastric cancer cells.

Key words: HL156, angiogenesis , gastric cancer, ampk, foxm1, cdk4/6

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I . INTRODUCTION

The biguanide metformin (1,1-dimethylbiguanide hydrochloride) is a widely used oral hypoglycemic agent for treatment of type 2 diabetes¹. During the decades, epidemiologic, preclinical and clinical studies suggested the associations of diabetes and metformin with cancer. Metformin has recently gained significant attention as a potential anti-cancer agent that inhibits the proliferation of several human cancer cell lines including prostate ², breast ³, colon ⁴, glial ⁵, and gastric cancer ⁶.

AMP-activated protein kinase (AMPK) is a sensor of energy status that maintains cellular energy homeostasis by fine-tuning a range of diverse cellular processes. In mammals, there are seven genes

encoding AMPK, two isoforms of α ($\alpha 1$ and $\alpha 2$), two of β , ($\beta 1$ and $\beta 2$), and three of γ ($\gamma 1$, $\gamma 2$ and $\gamma 3$). The α subunits contain a typical serine/threonine kinase domain at the N terminus; as for many protein kinase, these only having significant activity when phosphorylated by upstream kinases at conserved threonine residue within the activation loop (Thr172 in human $\alpha 1$)⁷.

Anti-cancer effect of metformin is known to be mainly mediated through the activation of LKB1/AMPK pathway⁸. AMPK activation results in down-regulation of mammalian TOR complex1 and the IGF-1/AKT pathways, and in p53-mediated cell-cycle arrest⁹. Active AMPK leads to loss of cyclin D1 mRNA and protein and the decline in cyclin D1 levels causes the release of sequestered CDK inhibitors, p27^{Kip1} and p21^{Cip1}, which then bind to and inhibit the cyclin E/CDK2 complex¹⁰.

Of note, metformin suppresses mitochondrial electron transport chain complex I¹¹, which is now recognized as a major effect of metformin mediated tumor suppression. When combined with 2-deoxyglucose (2DG), metformin induces cancer cell death *in vitro*, significantly suppressed tumor growth in two distinct xenograft tumor models *in vivo*¹². Further, metformin decreases angiogenesis by increasing the anti-angiogenic thrombospondin-1 (TSP-1) in insulin resistant obese women¹³. Recently metformin demonstrated the anti-angiogenic effect through the suppression of VEGF expression, mediated by downregulation of the mTORC1/HIF-1 α pathway¹⁴.

HL156 compounds, novel metformin derivatives with enhanced AMPK activating property, have proved tumor growth inhibition and anti-metastasis effect in breast cancer cell *in vivo* models at low concentrations. (Pilot study 1,2)

Tumor metastasis is a multistage process during which malignant cells spread from the primary site to distant organs. The process of cancer metastasis consists of sequential and interrelated steps. Each of these can be rate limiting, because a failure or an insufficiency at any steps can halt the process^{15, 16}.

Angiogenesis is a new blood vessel formation from pre-existing ones in the essential process of metastatic cascade. Extensive vascularization must be required for a tumor mass exceeding diameter of 1-2mm¹⁷. The synthesis and secretion of angiogenic factors has a critical role in establishing a capillary network within the surrounding host tissues¹⁸. Local invasion of the host stroma by tumor cells occurs

by multiple mechanisms¹⁶. Thin-walled venules and lymphatic channels, both of which offer very little resistance to penetration by tumor cells¹⁹. After tumor cells have survived in the circulation, they become arrested in capillary beds of distant organs by adhering to vessel walls²⁰. Extravasation occurs next, by mechanisms similar to those that involved during the initial vascular invasion. Proliferation of the tumor cells within the organ parenchyma completes the metastatic process. The micrometastasis must establish vascularization²¹ and defenses against host immune system to colonize and enter these processes for additional metastases^{19, 20}.

Expression of the metastatic signature has been correlated with a poor prognosis and has been shown to be consistent in several types of cancer. A growing tumor needs an extensive network of capillaries for provision of nutrients and oxygen as well as for disposal of metabolic wastes²². Therefore, tumor growth and metastasis are angiogenesis-dependent.

To initiate the formation of new capillaries, endothelial cells (ECs) of pre-existing blood vessels must degrade the underlying basement membrane and invade into the stroma of the neighbouring tissue by the activity of the plasminogen activator (PA) system and the MMPs (matrix metalloproteinases)²³. After proteolytic degradation of the ECM (extracellular matrix), endothelial cells migrate to the degraded matrix by a variety of growth factors including VEGF family²⁴, FGF-2²⁵ and angiopoietins²⁶. The final phases of the angiogenic process, including the construction of capillary loops and the determination of the polarity of the endothelial cells, are mediated by cell adhesion molecules²⁷.

VEGF/VEGF-receptor (VEGFR) axis plays a central role in angiogenesis. VEGF has been associated with tumor progression and poor prognosis in colorectal carcinoma^{28, 29}, gastric carcinoma^{30, 31}, pancreatic carcinoma^{32, 33}, breast cancer^{34, 35}, prostate cancer³⁶, lung cancer³⁷ and melanoma³⁸.

The mammalian VEGF family consists of five glycoproteins including VEGFA, VEGFB, VEGFC, VEGFD and placenta growth factor (PIGF)^{24, 39}. The most important molecule that controls blood-vessel morphogenesis is VEGFA. VEGFA is required for the chemotaxis and differentiation of endothelial precursor cells (EPCs), EC proliferation, the direct assembly of ECs into vasculogenesis

and angiogenic remodelling ⁴⁰. Under hypoxic conditions, VEGF transcription is upregulated by hypoxia-inducible factors (HIF) ^{41, 42}.

Targeting the VEGF pro-angiogenic signalling pathway with various inhibitors has led to FDA approval of angiogenesis inhibitors as cancer therapeutics ⁴³. A ligand-trapping monoclonal antibody bevacizumab (Avastin, Genetech/Roche), and two kinase inhibitors (sorafenib (Nexavar, Bayer) and sunitinib (Sutent, Pfizer)) targeting the VEGF receptor (VEGFR) tyrosine kinases are clinically tested for the proof-of-concept for anti-angiogenesis therapy ⁴⁴. However, inherent or acquired resistance to VEGF pathway inhibitors can occur in most patients, leading in some cases to a lack of response and in others to disease recurrence ⁴⁵.

VEGF effects on cell survival have been shown to be mediated by Flk1/VEGFR2-PI3K-Akt pathway ^{46, 47}. Akt is a critical regulator of PI3K-mediated cell survival ^{48, 49}. In many tumors, AKT is overexpressed or amplified with elevated level of AKT phosphorylation ^{50, 51}.

PI3K/AKT signaling pathway also plays an important role in regulating the vasculature and angiogenesis^{52, 53}. PI3K/AKT regulates tumor angiogenesis by down-stream targets such as mTOR/p70S6K1 signaling axis, the inhibition of FOXO, the induction of NO ^{54, 55}, and the inhibition of GSK-3 β .

The forkhead box O (FoxO) transcription factors FOXO1 and FOXO3A are critical regulators of endothelial sprout formation and migration *in vitro* ⁵⁶. Inhibition of Foxo1 activity in mature endothelial cells, has been reported to be an important mechanism through which angiopoietin 1 (Ang1) modulates endothelial function ⁵⁷, and inhibition of FOXO factors promotes endothelial proliferation ⁵⁸. In addition, FOXO3A represses the proximal VEGF promoter through FOXM1 dependent and independent mechanisms in breast cancer ⁵⁹. Forkhead box protein M1 (FOXM1) belongs to the forkhead superfamily of transcription factors which are identified by an evolutionary conserved Forkhead/winged-helix DNA-binding domain ^{60, 61}. It is previously known as Trident (in mouse) ⁶², HFH-11(in human) ⁶³, WIN or INS-1(in rat) ⁶⁴, MPP-2 (partial human cDNA) ⁶⁵ or FKHL-16 ⁶⁶. The

human FOXM1 gene is a 10-exon structure spanning approximately 25 kb on the 12p13-3 chromosomal band ⁶².

The FOXM1 transcription factors are crucial for G1-S and G2-M cell cycle phase progression and mitotic spindle integrity ⁶⁷. FOXM1 regulates a variety of biological processes in mammalian cells through regulating the transcription of genes important for cell cycle progression, cell proliferation, survival, cell differentiation, DNA damage repair, angiogenesis, cell migration, and chemotherapeutic drug response ⁶⁸. FOXM1 expression is stimulated by oncogenes and growth factors and inhibited by p53. Rb and p19Arf inhibit activity of FOXM1. A new study showed that FOXM1 could stimulate expression of genes involved in various steps of tumor metastasis ⁶⁹, including epithelial-mesenchymal transition (EMT), cell migration, and premetastatic niche formation.

The FOXM1 protein exists in three different isoforms. Both FOXM1B and C splice variants are transcriptionally active, whereas FOXM1A is transcriptionally inactive, due to the disruption of the transactivation domain in FOXM1A ⁶³. FOXM1 is a proliferation specific mammalian forkhead transcription factor: its expression is restricted to cells that are proliferating ⁶¹. The FOXM1 gene exhibits a specific expression pattern during the cell cycle. Both FOXM1 mRNA and protein levels increase at the entry to the S-phase of the cell cycle and remain elevated during the G2 and M-phases ⁶². The transcriptional activity of FOXM1 is also cell cycle-restricted, and depends on its phosphorylation level, reaching maximum levels in the G2/M transition. Based on the putative consensus phosphorylation sites, kinases such as CDK2, CDK1, mitogen-activated protein kinase (MAPK) and Plk-1 may be involved in FOXM1 phosphorylation. FOXM1C is strongly activated by cyclin D1/CDK4 and antagonistically regulated by cyclin D1/CDK4 and RB ⁷⁰. CDK4/6 initiate FOXM1 phosphorylation, by that means resulting accumulation of FOXM1 in cells, thereby activate and stabilize FOXM1 by direct multisite phosphorylation ⁷¹. Cyclin-CDK-dependent phosphorylation of the C-terminal region of FOXM1 increases transcriptional activation causing recruit a transcriptional co-activator, the histone deacetylase p300/CREB binding protein (p300/CBP). FOXM1 also interacts with CDK inhibitor p27^{kip} which inhibits Cyclin-CDK kinase ⁶¹. MAPK-mediated phosphorylation of FOXM1 was shown to regulate subcellular localization and transcriptional activation⁷². FOXM1

possesses 5 RXL (cyclin binding) motifs and 15 CKD consensus sites, 12 of which are localized in its C-terminal transactivation domain (TAD)⁷¹.

Cyclin-dependent kinases (CDKs) govern cell cycle phase transitions in mammals and boost global gene transcription. Cyclin D-dependent kinases (CDK4 and CDK6) have been identified as the major oncogenic drivers among cell cycle CDKs. At the mechanistic level, recent studies have revealed pro-tumorigenic functions of CDK4/6 beyond cell cycle progression. Cells possess three distinct families of regulatory subunits: activating D-type cyclins, negative regulatory INK4 proteins and Cips/Kips, which can act as both inhibitors and activators, depending of their phosphorylation status ⁷³.

Gastric cancer is the second most leading cause of cancer-related death worldwide ²¹, and the overall prognosis of advanced gastric cancer is poor ⁷⁴. In gastric cancer, expression of AKT and phosphorylated AKT (p-AKT) are detected in 74% and 78% of tumors respectively ⁷⁵. In a report of 50 advanced gastric carcinomas, there was a statistically significant correlation between p-AKT expression and depth of tumor, number of involved lymph nodes and poor prognosis ⁷⁶. Compared with other more extensively investigated cancers, such as breast, prostate, and colon carcinoma, the molecular mechanisms involved in the transformation and progression of gastric cancer are poorly characterized ⁷⁷.

Our preliminary analysis of Reverse phase protein array (RPPA) data from gastric cancer patients indicated that p-AKT, PAI1, VEGFR2 and p-FOXO3A all of which related with angiogenesis were increased in tumors of patients with poor prognosis. Together with a pilot study that demonstrated anti-metastasis effect of HL156 in *in vivo* breast tumor mouse models, we were prompted to test the hypothesis that HL156 inhibits angiogenesis. In this study, we demonstrated that HL156 compounds suppress VEGFA expression in gastric cancer cell line models. Further, we found that the downregulation of oncogenic transcription factor FOXM1, which transcriptionally activates VEGFA expression, is mediated through inhibition of cyclin D-dependent kinase, CDK4/6 by AMPK activation.

II. MATERIALS AND METHODS

1. Reagents and Cell treatment

Cells were cultured in 10-cm dishes containing 10ml of the medium. After attachment for 24hours and growth 80% confluence, the cells were treated with 30 μ M HL156 compounds. A stock solution of 25mM HL156 compound was dissolved and diluted with distilled water to obtain final concentrations of 30 μ M. Compound C (Merck KGaA, Darmstadt, Germany), the AMPK inhibitor, was stocked at a concentration of 20mM (dissolved in DMSO) and was diluted with DMSO for the final doses of 20 μ M (0.1% DMSO). Control cells were treated with an equal amount of distilled water or DMSO.

2. Cell lines and culture conditions

MKN28 and AGS cells were maintained in RPMI-1640 medium containing 10% (v/v) fetal bovine serum (FBS), 100 units/ml penicillin, and 100 ug/ml streptomycin. Human umbilical cord endothelial cells (HUVECs) were maintained in EGM-2 Bulletkit (contains FBS, Hydrocortisone, hFGF-B, GA-1000, hEGF, Heparin, VEGF, R³-IGF-1, ascorbic acid) purchased from Lonza (Walkersville, MD USA). Cells were grown at 37°C, 5% CO₂ humidified incubator.

3. Western blotting

Protein lysates were prepared from cells at 90% confluency. The cells were then washed in ice-cold phosphate buffered saline (PBS) and then extracted in a lysis buffer containing 1% Triton X-100, 50mmol/L HEPES (pH7.5), 150mmol/L NaCl, 25mmol/L β -glycerophosphate, 25 mmol/L NaF, 5mmol/L EGTA, 1mmol/L EDTA as a protease inhibitor cocktail (Roche Diagnostics, Indianapolis, IN). The lysates were centrifuged at 13,000 rpm for 30min and frozen at -80°C until use. Protein concentrations were determined using a bicinchoninic acid protein assay kit (Pierce, Rockford, IL, USA). Samples were electrophoresed using 8% SDS-PAGE, and proteins were transferred to PVDF membranes. The membranes were incubated with primary antibodies after blocking and then were incubated with HRP-conjugated secondary antibodies. Immunoreactive proteins were visualized with an enhanced chemiluminescence detection system on X-ray film. In this study, the following antibodies were used : anti- β -actin monoclonal antibody (Sigma-Aldrich;A5441, used at 1:1000), FOXM1 (Cell signaling, #5436, used at 1:1000), FOXO3A (Cell signaling, #2497, used at 1:1000), FOXO1 (Cell signaling, #2880, used at 1:1000), Phospho-FOXM1(Ser35) (Cell signaling, #14170, used at 1:1000), cyclin D1(Cell signaling, #2926, uses at 1:1000), CDK4 (Cell signaling, #12790, used at 1:1000), CDK6 (Cell signaling, #3136, used at 1:1000), p27 (Santa cruz, sc-528, used at 1:1000), Phospho-AMPK α (Thr172) (Cell signaling, #2535, used at 1:1000), AMPK α (Cell signaling, #2532, used at 1:1000), HIF1 α (millipore, #07-628, used at 1:1000).

4. MTS cell proliferation assay

Cell proliferation assays were conducted using the MTS assay (Promega Corporation, Madison, WI, USA) according to the manufacturer`s instructions. Each cell line (1×10^4) was seeded into a well of a 96-well plate and cultured in 100 μ l of RPMI-1640 supplemented with 10% FBS.

After 24hours, seeding cells were treated with 10nM, 100nM, 1μM, 100μM, 500μM, 1mM HL156 compound or, as a control, without HL156 compound. After treatment, 20μl MTS[(3-(4,5-dimethylthiazol-2-yl)-5-(3-carboxymethoxyphenyl)-2-(4-sulfophenyl)-2H-tetrazolium)], was added to each well and the cells were incubated at 37 °C with 5% CO₂ for 4 hours. Absorbance was measured for each well at a wavelength of 490nm using an ELISA reader.

5. Matrigel morphogenesis assay

150μl of growth factor reduced BD Matrigel matrix basement membrane (BD Biosciences, San Jose, CA, USA) was pipetted into each well of a 24-well plate and polymerized for 30 min at 37 °C. Human umbilical vein endothelial cells (HUVECs) were harvested after trypsin treatment and suspended in conditioned medium. Next, 2X10⁴ HUVECs in 500μl of conditioned medium were added to each well and incubated at 37 °C in 5% CO₂ for 4hours. We then photographed the cultures under a bright-field microscope. The quantified results were expressed in number of master segments and meshes.

6. Assessment of secreted VEGFA

MKN28 and AGS cells were treated with 30μM HL156 compounds for 48hours. Supernatant medium was collected and VEGFA concentrations were measured using commercially available sandwich ELISA kits (Thermo scientific, Waltham, MA, USA).

7. Nuclear protein extraction

Nuclear and cytoplasmic extracts were prepared using NE-PER nuclear and cytoplasmic extraction reagents (Thermo scientific, Waltham, MA, USA). For adherent cells, harvest with trypsin-EDTA and then centrifuge at 500 X g for 5 minutes. Wash cells by suspending the cell pellet with PBS. Transfer $1-10 \times 10^6$ cells to a 1.5ml microcentrifuge tube and pellet by centrifugation at 500Xg for 2-3 minutes. Use a pipette to carefully remove and discard the supernatant, leaving the cell pellet as dry as possible. Add ice-cold CER I to the cell pellet. Proceed to Cytoplasmic and Nuclear Protein Extraction, using the reagent volumes indicated.

8. Electrophoretic mobility shift assay (EMSA) and super-shift assay

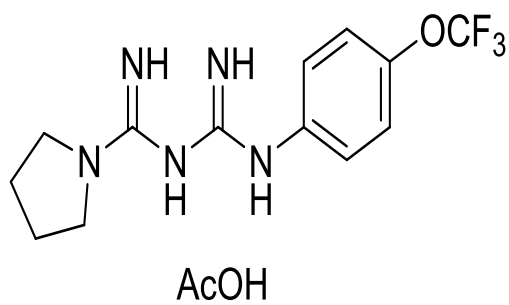
The DNA-protein binding detection kit (Promega, Madison, WI, USA) was used with modifications. In brief, DNA-protein binding reactions were carried out in a final volume of 25 μ l of buffer containing 10 mM Tris (pH 7.5), 100 mM NaCl, 1 mM DTT, 1 mM EDTA, 4% (w/v) glycerol, 0.1 mg/ml sonicated salmon sperm DNA, 15 μ g of nuclear extract, and oligonucleotides. Oligonucleotides containing FOXM1 binding consensus sequence were end-labeled to a specific activity of 5×10^5 CPM with γ -[32 P]-ATP and T4-polynucleotide kinase, followed by purification on a Nick column (GE Healthcare, Piscataway, NJ). Reaction mixtures with radio-labeled oligonucleotides were incubated at room temperature for 20 minutes, and resolved on 6.5% non-denaturing polyacrylamide gels after addition of 3 μ l bromophenol blue (0.1%). Gels were dried and subjected to autoradiography. For super-shift assays, 3 μ g of antibody was added for 20 minutes at room temperature after the initial incubation. Abs specific for FOXM1 were purchased from Cell Signaling Technology.

9. Statistical analysis

Hierarchical cluster analysis was done using Cluster and Treeview. The reproducibility and correlation of results were tested by calculating Pearson Correlation Coefficients. The associations between protein feature and mutation status within cell line clusters were determined by χ^2 and Fisher's exact testing. Analysis of statistical associations between drug sensitivity and RPPA clusters were done using one-way ANOVA. All statistical analyses were done in the R language environment.

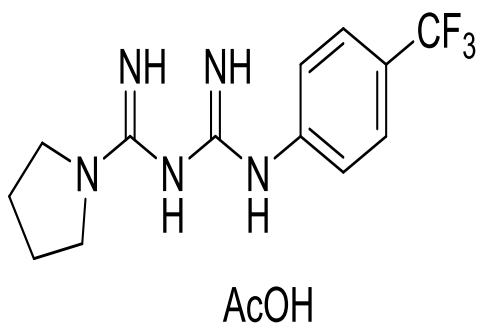
III. RESULTS

HL156271 (HL156A)



N-(N-(4-(trifluoromethoxy)phenyl)carbamimidoyl)pyrrolidine-1-carboximidamide acetate

HL156277 (HL156B)



N-(N-(4-(trifluoromethyl)phenyl)carbamimidoyl)pyrrolidine-1-carboximidamide acetate

Figure 1. HL156 compound structure formula

1. Effect of HL156 compounds on cell proliferation

Our pilot study showed that new potential metformin derivative HL156 (Fig. 1) inhibits tumor proliferation *in vivo*. Xenograft tumor model was established by injecting human colorectal carcinoma HCT116 cells in nude mice. HL156 showed comparable tumor growth inhibition effect at lower dose (50mpk) compared to capecitabine (Xeloda®) (100mpk) (Pilot study). Based on this result, we wanted to evaluate the effect of the growth inhibition activity of HL156 on human gastric cancer cells. We examined the effect of HL156 on proliferation in two gastric cancer cell lines, MKN28 and AGS. Cells were grown in 10% FBS and treated 10nM, 100nM, 1μM, 100μM, 500μM, 1mM HL156 compound or, as a control, without HL156. The cell proliferation assay was conducted 3 days after the addition of the compound by MTS assay. HL156 slightly decreased cell proliferation in a dose-dependent manner in MKN28 and AGS cells. Based on this result, we determined 30μM for working concentration of HL156 to interrogate the biological effects of HL 156 on tumor cells at the concentration that does not induce cellular toxicity (Fig. 2).

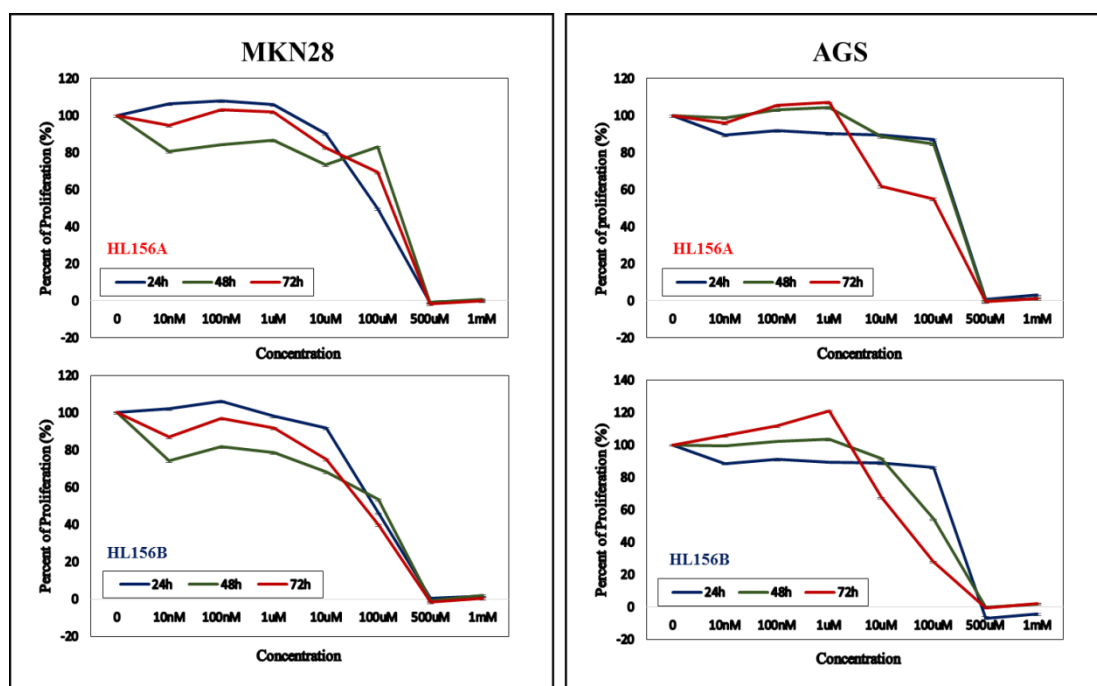


Figure 2. 30 μ M HL156 compounds do not significantly affect proliferation of gastric cancer cells.

AGS and MKN28 were seeded in 96-well plates. After 24 hours, HL156 compounds were added to the culture medium and viability assay was conducted daily from 0 to 72 hours. MTS assay was conducted as described in Materials and Methods. The data points represent the mean cell number from 3 independent experiments and error bars represent standard deviation.

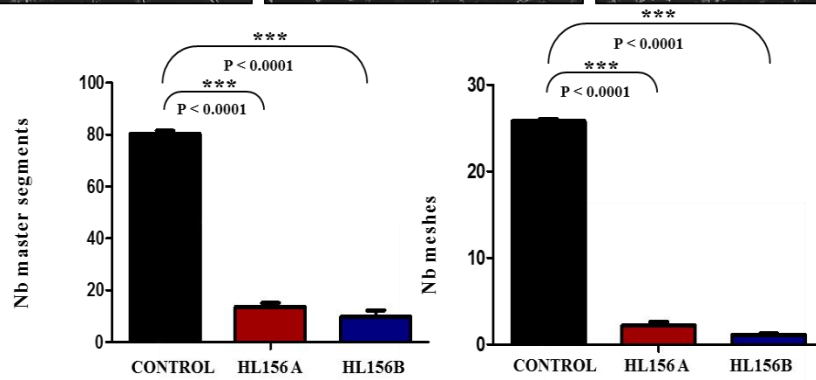
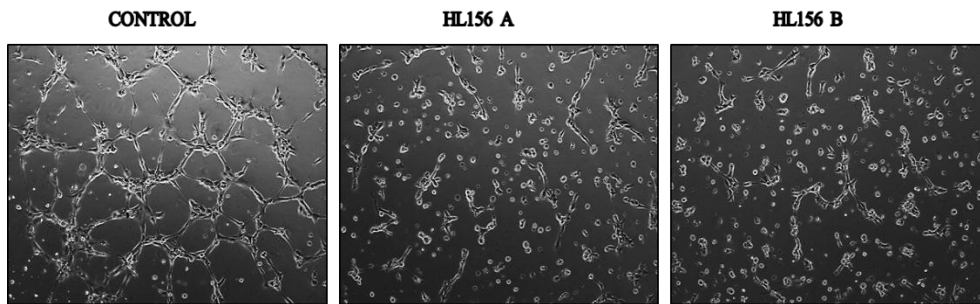
2. HL156 compounds down-regulate endothelial cell network formation

Metformin has been reported to inhibit the proliferation of several cancer cell lines²⁻⁵. However, there are few studies reporting effects on angiogenesis by metformin^{13, 78, 79}.

In our pilot study, HL156 showed anti-metastasis effect in MDA-MB-231 breast cancer mouse models. Based on these, we hypothesized that HL156 would inhibit angiogenesis which is one of the critical steps of metastatic process.

We evaluated this idea using an endothelial cell tube formation assay. Endothelial cells cultured in the conditioned media from MKN28 or AGS cells treated with 30 μ M HL156 for 48hours had a significantly reduced capillary tube formation compared with the conditioned media from cells treated with vehicle alone as shown by the decreases in the number of segments and meshes (Fig. 3). Taken together, these results suggested that the decreased *in vitro* capillary tube formation by HL156 might be mediated by humoral factors secreted from cancer cells.

AGS



MKN28

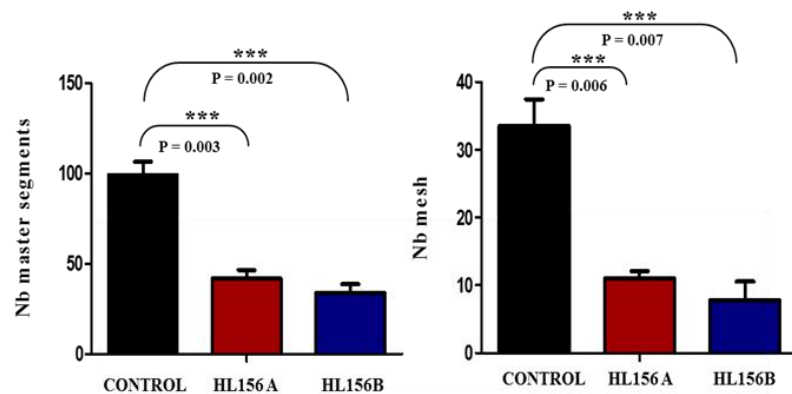
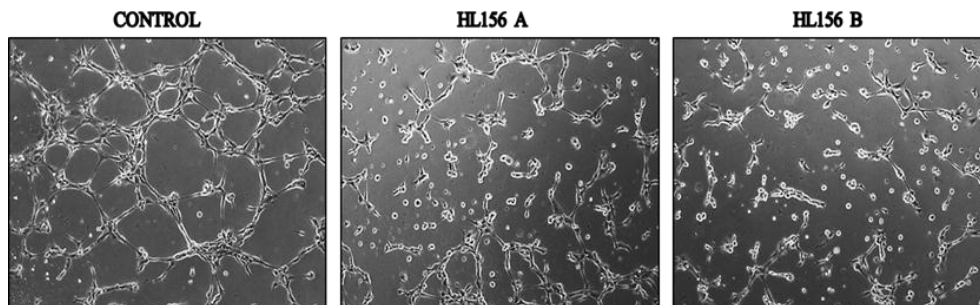


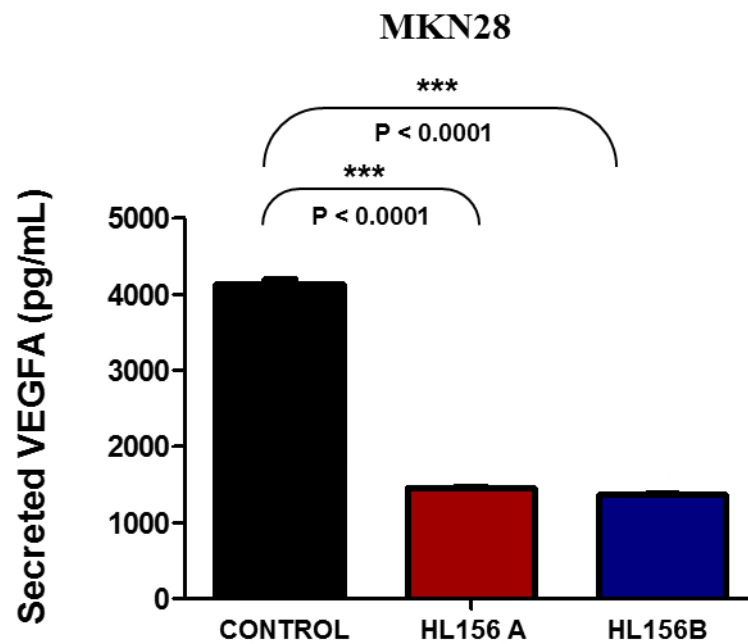
Figure 3. HL156 compounds inhibit endothelial cell morphogenesis.

The angiogenic potential of gastric cancer cells treated with HL156 was determined by an endothelial cell matrigel tube formation assay. Samples of conditioned media were prepared from MKN28 and AGS cells. Human umbilical cord endothelial cells (7×10^4) in 500 μl of conditioned media were then plated on growth factor-reduced Matrigel to form a capillary tube. Data from three independent tube formation experiments. Master segments and meshes were quantified by Angiogenesis Analyzer for ImageJ software. Bar graph shows the mean and standard deviation of the measured Master segments and meshes (n=3). Statistical significance ($P < 0.05$) in a comparison of HL156 treated and control groups.

3. Regulation of VEGFA secretion by HL156 compounds in gastric cancer cells

Based on the above results, we next determined the expression of VEGFA, a secreted major angiogenic protein, in the conditioned media with or without HL156 treatment. Conditioned media from untreated MKN28 and AGS cells showed high levels of secreted VEGFA protein. On the other hand, treatment of HL156 on cancer cells decreased VEGFA levels in the conditioned media (Fig. 4A). In concordance with ELISA results, VEGFA protein levels were decreased by HL156 in AGS cells (Fig. 4B).

A.



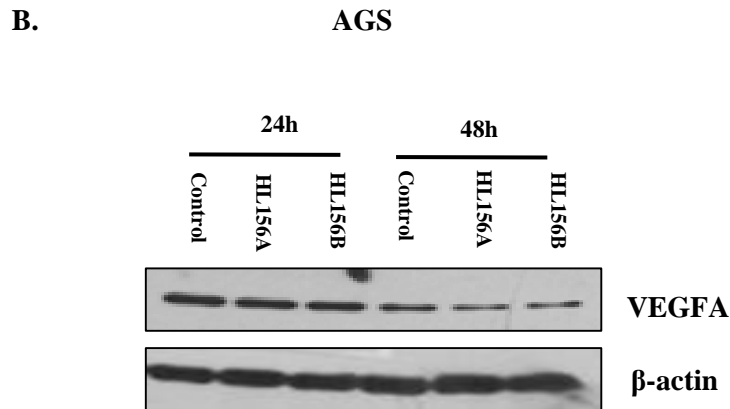
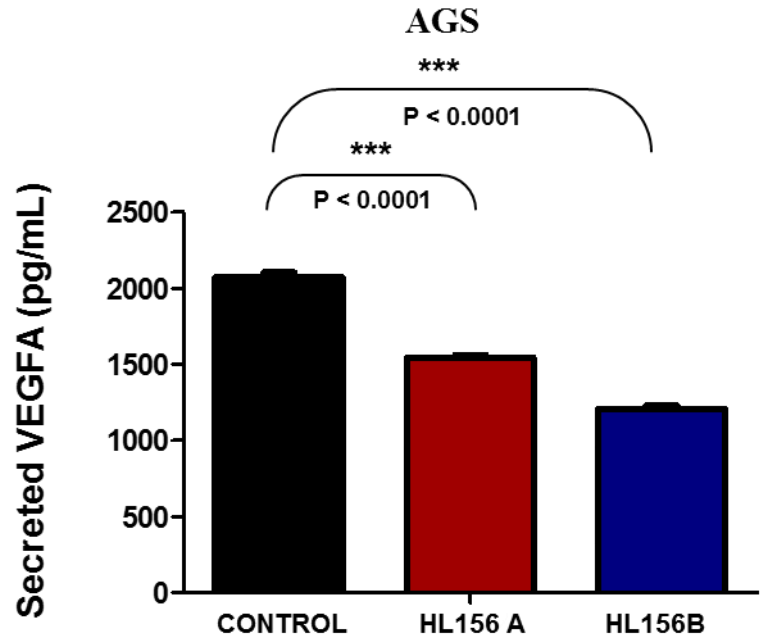


Figure 4. HL156 compounds inhibit VEGFA secretion in gastric cancer cells

A. VEGFA concentrations in conditioned media of HL156 treated gastric cancer cells were measured by a quantitative sandwich enzyme immunoassay according to the manufacturer's protocol (Human VEGFA ELISA kit, Thermo scientific). The optical density was measured at 450nm and 550nm using an ELISA plate reader. **B.** In parallel, VEGFA protein levels of these HL156 compounds treated AGS cells were also analyzed by western blotting. β -actin as a loading control.

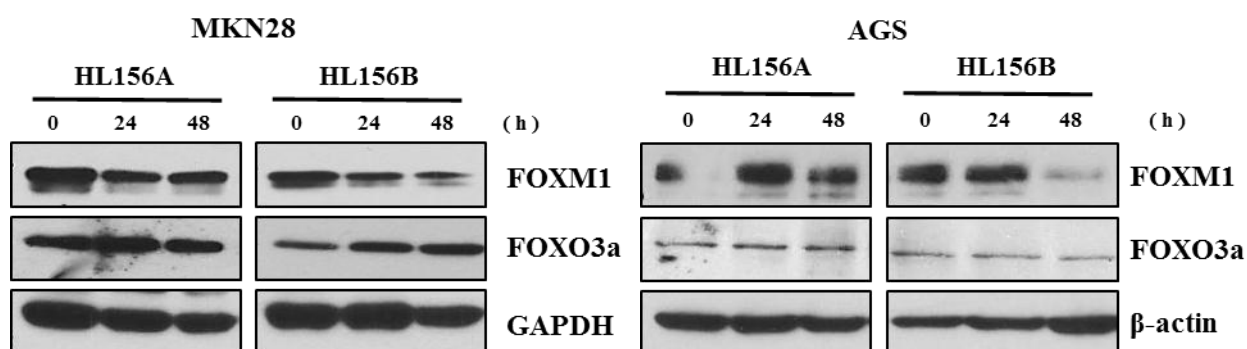
4. HL156 represses expression of FOXM1, but not FOXO3A

HIF-1 α is one of the characteristics of solid tumors that promote the production of VEGF and angiogenesis⁸⁰. Therefore, we investigated the expression levels of HIF-1 α , but there are no significant changes in HIF-1 α after HL156 treatment (supplementary Fig.3), indicating the effect of HL156 on VEGFA expression might be independent of HIF-1 α .

Our preliminary analysis of Reverse phase protein expression array (RPPA) data from gastric cancer patients indicated that p-AKT, PAI1, VEGFR2 and p-FOXO3A were increased in tumors of patients with poor prognosis. Since AKT, PAI1 and VEGFR2 are well-established angiogenesis related proteins, we speculated that FOXO3A would also regulate angiogenesis in gastric cancer. FOXO3A, one of FOXO transcription factors, is a critical regulator of endothelial sprout formation and migration *in vitro*. In addition, FOXO3A represses the proximal VEGFA promoter through FOXM1 - dependent and - independent mechanisms in breast cancer⁵⁹. Overexpression of FOXM1 promotes cell-cycle progression, angiogenesis, invasion and metastasis^{81, 82}. In contrast, loss of FOXO3A activity may increase resistance to apoptosis and cell cycle progression⁶⁷.

Thus, we speculated that the anti-angiogenic mechanism of HL156 would be regulated by FOX protein, especially FOXO3A and FOXM1 which have antagonistic role in angiogenesis. We first monitored the expression of FOXO3A and FOXM1 upon HL156 treatment of gastric cancer cells. Western blot analysis showed that HL156 treatment of MKN28 and AGS cells caused a decrease in FOXM1, but had little effects on FOXO3A (Figure. 5A). In other gastric cancer cell lines including MKN45, NCI-N87, SNU638 the same results have obtained (Supplementary Fig. 2). Having a similar endothelial sprout formation function like FOXO3A, FOXO1, the other forkhead family member, ⁵⁶ also showed few changes (Supplementary Fig. 3). In sharp contrast, nuclear FOXM1 was eminently downregulated by HL156 treatment, profoundly by HL156 B in particular, but no change in nuclear FOXO3A (Fig. 5B). These results suggest that FOXM1 is specifically down-modulated among forkhead proteins by HL156.

A. Total



B. Nucleus

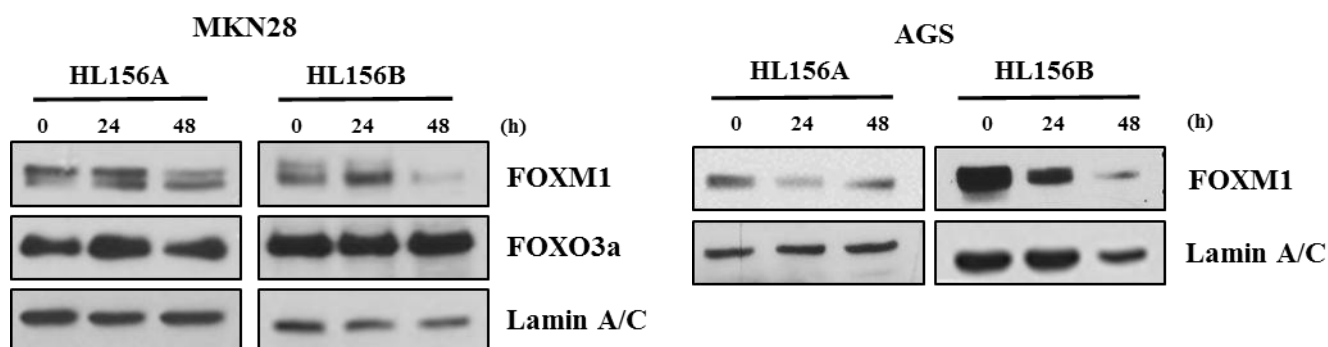


Figure 5. Expression of FOXM1 is repressed by HL156 compounds

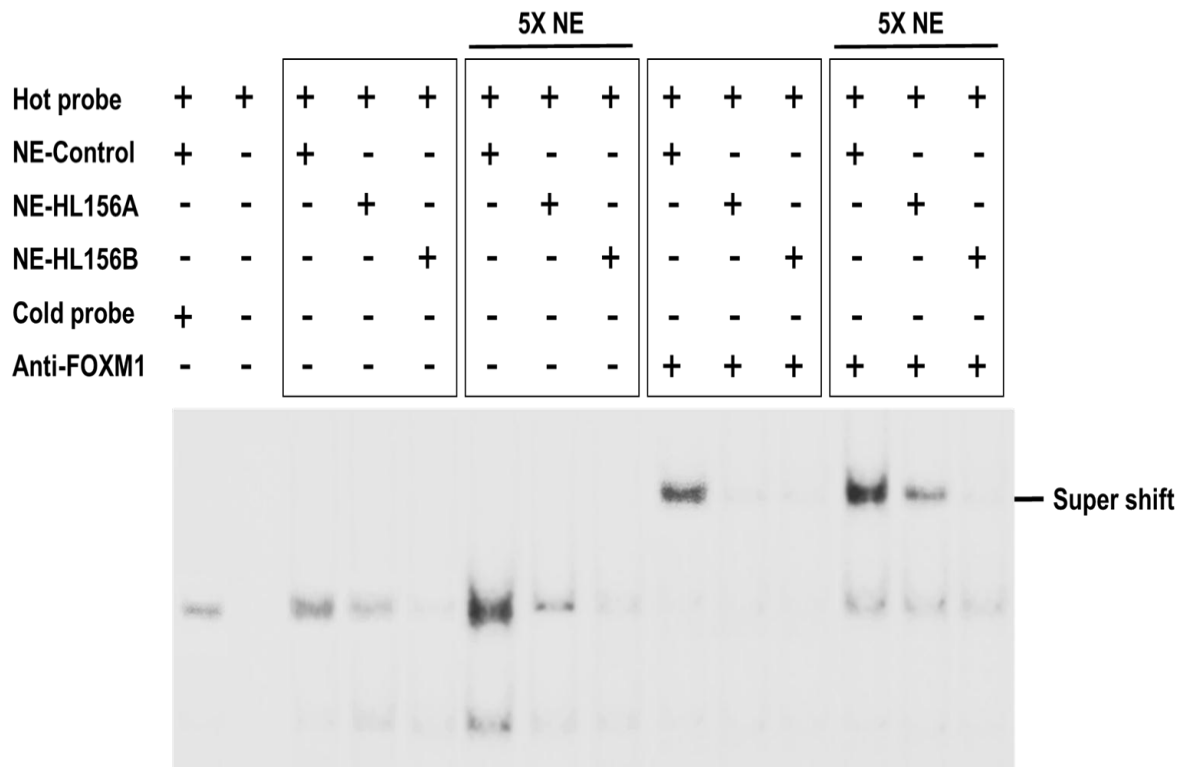
A. Total protein was isolated at 24 and 48h following HL156 treatment. Western blot analysis showed that the level of FOXM1 was reduced in gastric cancer cells (MKN28 and AGS) when treated with HL156 A/B (30 μ M) for indicated time points. **B.** Nuclear and cytoplasmic extracts were prepared at the times indicated, separated on polyacrylamide gels and subjected to immunoblotting with FOXM1 and Lamin A/C used for nuclear marker.

5. HL156 suppresses FOXM1 binding to the VEGFA promoter *in vitro*

Recently VEGFA was reported to be a direct transcriptional target of FOXM1⁸³. To determine whether FOXM1 binding to VEGFA promoter is affected in response to HL156 treatment, we performed electrophoretic mobility shift assays using FOXM1 binding sequences 5'-TAATCA-3'⁶⁴ in VEGFA promoter.

We found that FOXM1 binding region in VEGFA promoter specifically bound to nuclear extract prepared from MKN28 cells not treated with HL156 compound resulting in the formation of major shifted band while no detectable binding was noticed in the samples treated with HL156 (Fig. 6). Furthermore, the shifted band could be supershifted by the anti-FOXM1 antibody. Together with immunoblot results in Fig. 5B, these results indicate that HL156 compounds inhibit FOXM1 expression, particularly in the nucleus, thereby impeding binding to FOXM1 specific motif in the VEGFA promoter. Of note, the intensity of shifted bands between HL156A and HL156B treatment was different proportional to protein amounts in immunoblot assay (Fig. 5B), further confirming the nuclear FOXM1 specifically binds to VEGFA promoter.

A.



B.



Figure 6. HL156 compounds suppress FOXM1 binding to the VEGFA

A. Binding of FOXM1 to the VEGFA promoter *in vitro*. We performed EMSA using nuclear protein (NE) extracted from MKN28 cells and the oligonucleotide probes of putative FOXM1 binding region of VEGFA promoter. A major shifted band was noted and was competed out by an excess of unlabeled FOXM1 binding region oligonucleotide, and supershifted by anti-FOXM1 antibody. **B.** Sequences and positions of the VEGFA promoter.

6. Increased AMPK activity by HL156 suppresses FOXM1 expression

AMPK is a key energy sensor regulating normal and cancer cell metabolism and bioenergetics homeostasis. AMP which is elevated when cellular energetics are depressed activates AMPK by promoting its phosphorylation at Thr172 and by direct activation via an allosteric AMP site ⁸⁴.

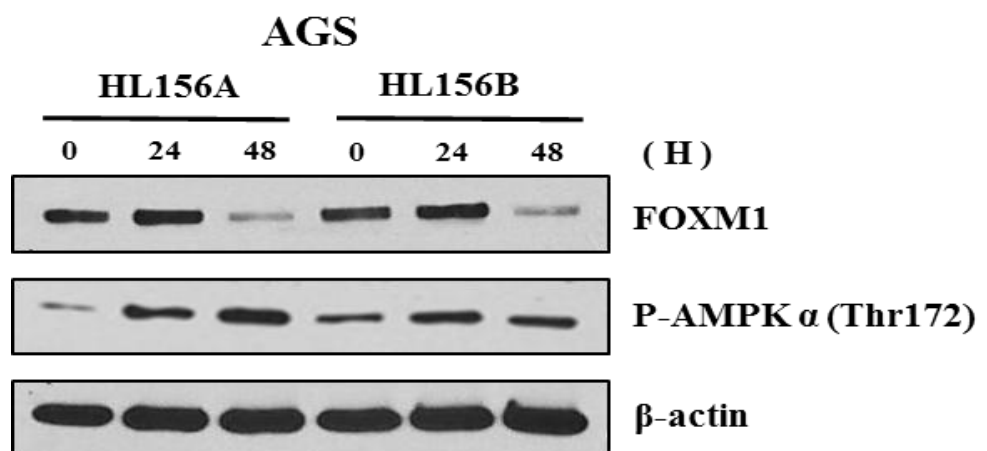
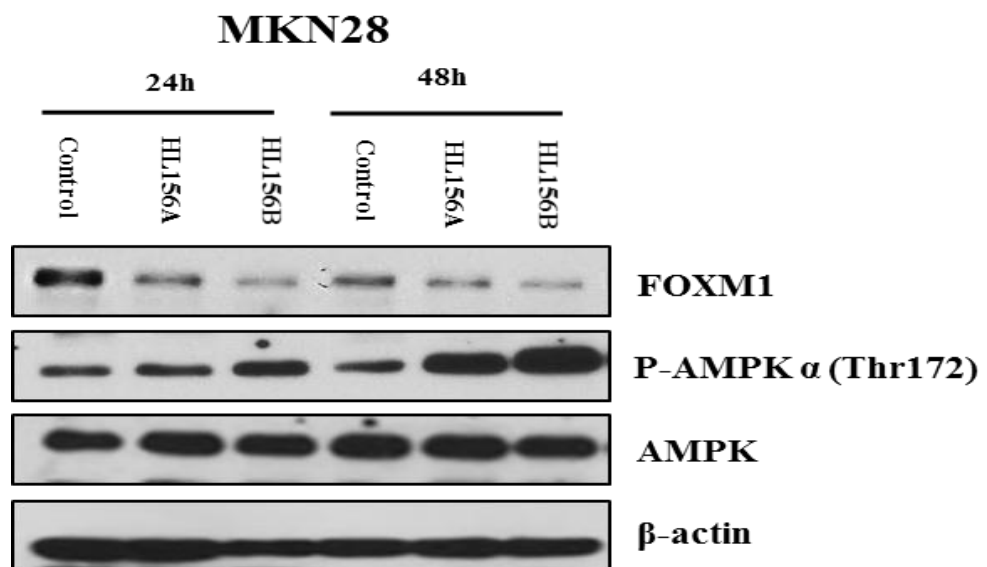
It is well known that metformin activates AMPK. Metformin is thought to activate AMPK by inhibition of Complex I of the respiratory chain, which leads to a decrease of intracellular ATP levels ⁸⁵. AMPK has a general role in coordinating growth and metabolism according to the cellular bioenergetics level ⁸⁶. It is reported that when AMPK activity increased, the expression of FOXM1 decreased in mouse hearts after birth ⁸⁷. Further, activation of AMPK inhibits cervical cancer cell growth through reducing the expression of FOXM1 ⁸⁸.

HL156 is a designed new compound with enhanced property of AMPK activation compared to metformin. It is, therefore, of interest to determine if AMPK activation in HL156 treated cells downregulates FOXM1.

The results show that HL156 promotes AMPK Thr172 phosphorylation demonstrated by using anti-phospho-AMPK antibody and that this correlates with downregulation of FOXM1 in both MKN28 and AGS cells (Fig. 7A).

To further interrogate the role of AMPK in FOXM1 downmodulation, MKN28 cells were pretreated with compound C, a potent reversible AMPK-specific inhibitor, or DMSO (vehicle) for 30 min and then treated with or without HL156 (30 μ M) for an additional 48 hours. Pretreatment with compound C inhibited AMPK activation and rescues the reduction of FOXM1 levels caused by HL156 (Fig. 7B). These results indicate that downregulation of FOXM1 by HL156 is mediated through AMPK activity.

A.



B.

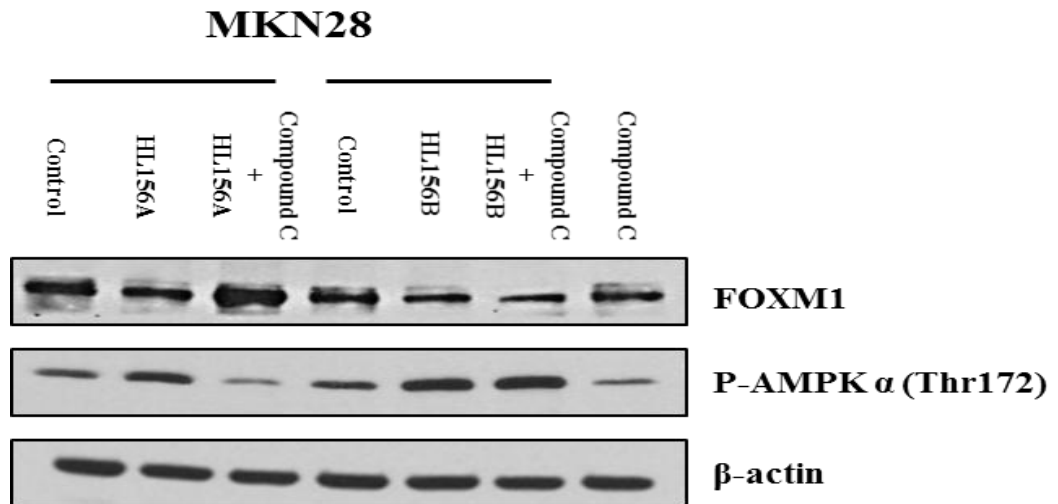


Figure 7. Activation of AMPK by HL156 compounds suppresses expression of FOXM1

A. Western blot analysis showed that the level of p-AMPK α (Thr172) was increased, while the level of FOXM1 was reduced in gastric cancer cells (MKN28, AGS) when treated with HL156 (30 μ M) for indicated times. **B.** Pre-treatment of AMPK inhibitor, compound C (20 μ M) reverses the effects of HL156 compound and recues the level of FOXM1.

7. Effect of HL156 on cell cycle regulatory proteins which regulated phosphorylation of FOXM1

Next, we raised a question about molecular players that mediate AMPK activation and downregulation of FOXM1. The transcriptional activity of FOXM1, which follows its phosphorylation status, also increases and peaks at the G2/M transition⁸⁹. Activation of cyclin D dependent kinase is regulated by D-type cyclins, negative regulatory INK4 proteins and Cips/Kips which can act as both inhibitors and activators⁷³. Reliance of the abundance and stoichiometry of the three regulator subunits (D-cyclins, Cip/Kips, INK4) in the cell, each of the catalytic subunits (CDK4 or CDK6) can either bind to a D-type cyclin and a Cip/Kip protein, thus forming a trimeric holoenzyme⁷³. Down-regulation of FOXM1 using siRNA reduced expression of cyclin D1, increased expression of CDK inhibitor p27^{kip90}. FOXM1 is identified that it is stabilized and activated by CDK4/6 as a multisite phosphorylation target⁷¹. Multisite phosphorylation of FOXM1 (Ser4, Ser35, Ser331, Ser451, Ser489, Ser508, Thr510, Ser522, Thr600, Thr611, Thr620, Thr627, Ser638, Ser672, Ser704) by cyclin D-CDK4/6 protected FOXM1 from degradation. Metformin reduces cyclin D1^{2, 10}, cyclin D- dependent kinase (CDK) 4, CDK6 in human gastric cancer cell lines⁶.

We hypothesized that activation of AMPK by HL156 compound inhibits CDK4/6, decreases FOXM1 phosphorylation, and reduces FOXM1 accumulation in the nucleus, thereby decreasing binding of FOXM1 to the VEGFA promoter.

The protein levels of CDK4 significantly declined 48h after the treatment of HL156 compound. On the contrary, the CDK inhibitor p27^{kip} expression elevated in cells treated with HL156 (Fig. 8).

Phospho-FOXM1 (Ser35), one of the N-terminal CDK4/6 target sites, also significantly decreased, concordant with the above result. Taken together, our data suggest that increased AMPK activity down-regulates FOXM1 mediated by CDK4/6 suppression.

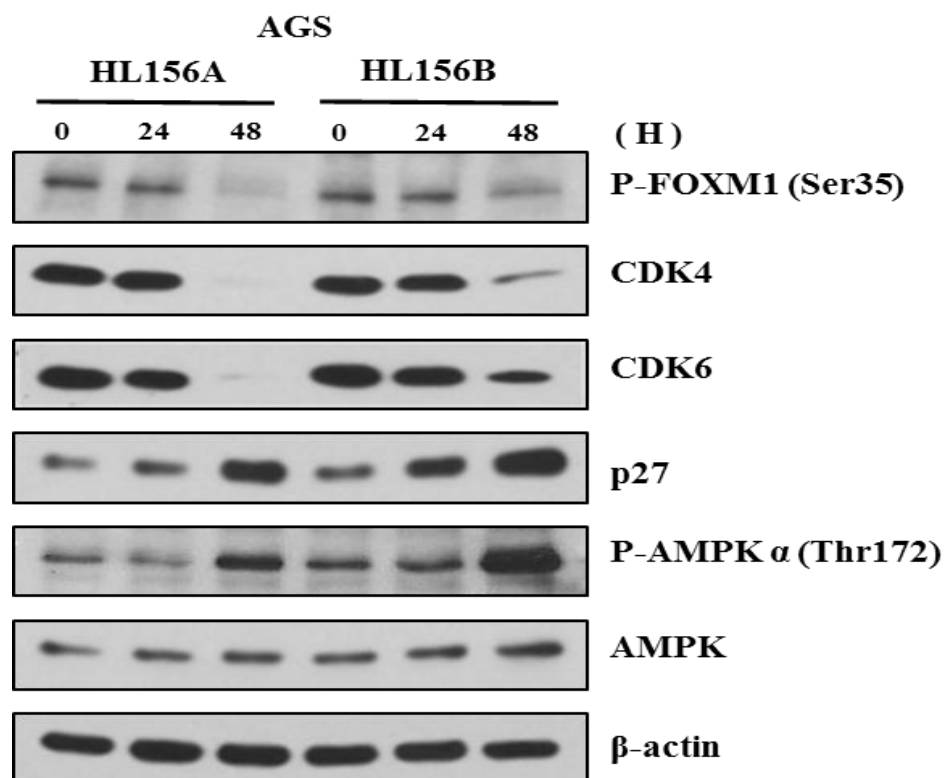
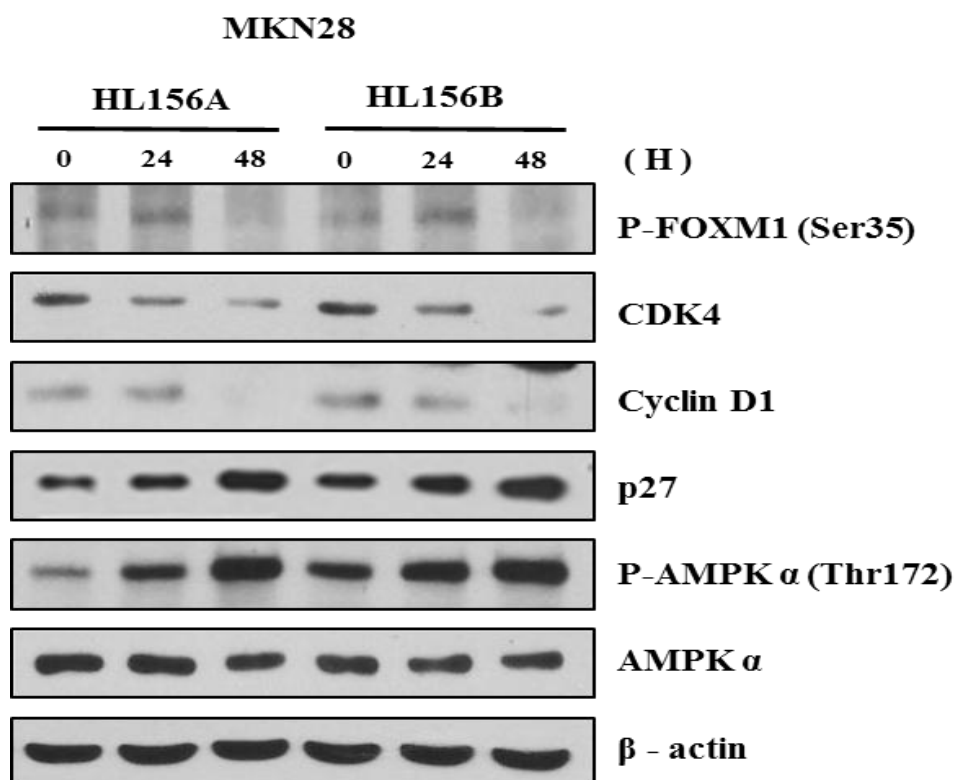


Figure 8. Downregulation of CDK4 corresponds to activation of AMPK

Expression of CDK4 decreased in both MKN28 and AGS cells treated with HL156 for 48 hours. Consequently, phospho - FOXM1 (Ser35), a main phosphorylation site by CDK4/6, was also decreased. Western blotting of Cyclin D1, CDK4, CDK6, and phosphorylated FOXM1 (Ser35) in both MKN28 and AGS cells of 24 and 48 hours after the addition of 30 μ M of HL156.

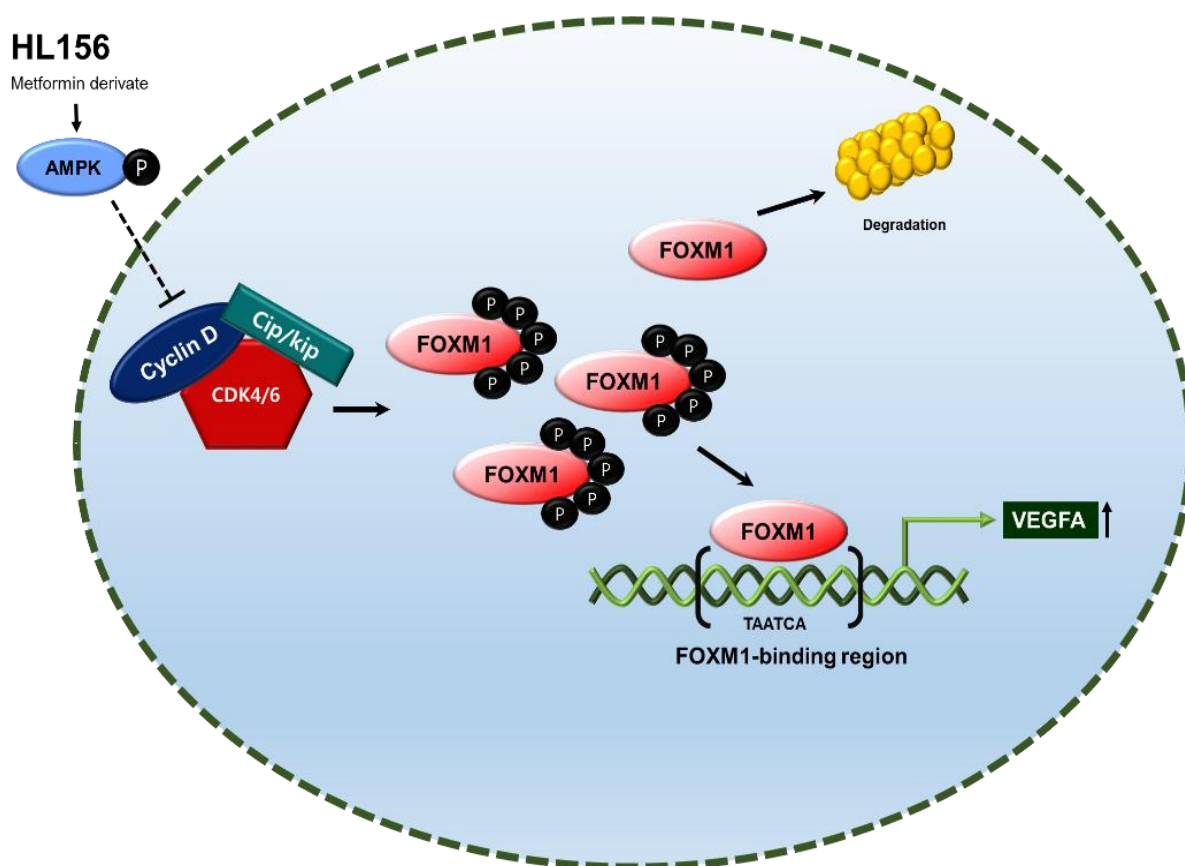


Figure 9. Proposed model for the mechanism of HL156 compounds mediated anti-angiogenesis

Activation of AMPK leads to downregulation of CDK4/6 proteins. The reduction in CDK4 affects phosphorylation level of FOXM1. As unphosphorylated FOXM1 being increased, transcriptional activity of FOXM1 decreases due to protein degradation. Thus, AMPK→CDK4/6→FOXM1 signaling blocks transcription of FOXM1 target genes, especially VEGFA.

IV. DISCUSSION

To summarize, the results presented here are consistent with a model in which increased phosphorylation and activation of AMPK by HL156 compounds leads to the downregulation of oncogenic transcription factor FOXM1, resulting in the anti-angiogenic effects.

We here discovered the anti-angiogenic effect of a novel potent metformin derivative, HL156 on VEGFA secretion in gastric cancer cells. We found that VEGFA secretions were significantly reduced by HL156. These suppressive effects were observed at 30uM, which did not significantly affect the proliferation of gastric cancer cells, a very lower concentration than that used in traditional anti-cancer studies on metformin (5-20 mM) ⁹¹. Moreover, we found that HL156 specifically suppressed oncogenic transcription factor FOXM1 which regulates VEGFA expression through direct binding to Forkhead binding elements (FHRE) of VEGFA promoter region ⁶⁸.

AMPK activation has been demonstrated a potent anti-proliferation effect in cancer ² and we questioned whether this might be related with inhibition of angiogenesis. Downregulation of FOXM1 in response to metformin has been demonstrated in cervical cancer cells through AKT/FOXO3A signaling pathway ⁸⁸. Our data showed that the reduction of FOXM1 expression is correlated with activation of AMPK, however, the inhibition of FOXO3A by AKT is not reduced when AMPK is activated. Using compound C, a specific inhibitor of AMPK, reversed HL156 induced downregulation of FOXM1 in gastric cancer cells. HL156 B also more powerfully downregulates FOXM1 than HL156 A. It remains to be determined appropriate Compound C concentration to use for HL156 B in further studies. Our results suggest that nuclear FOXM1 expression was inhibited by HL156 (Fig. 5B).

FOXM1 has been reported to crosstalk not only with vascular endothelial growth factor (VEGF) signals, but also with multiple oncogenic pathways, such as phosphatidylinositol 3-kinase (PI3K)/Akt, nuclear factor- κ B (NF- κ B), sonic hedgehog (Shh), extracellular signal-regulated kinase (ERK), mitogen-activated protein kinase (MAPK), cyclooxygenase-2 (COX-2), epidermal growth factor receptor (EGFR), estrogen receptor (ER), c-myc, p53, reactive oxygen species (ROS), and hypoxia-inducible factor-1 (HIF-1) signaling ⁹².

Surprisingly, the analysis from comprehensive molecular evaluation of primary gastric adenocarcinomas as part of The Cancer Genome Atlas (TCGA) project revealed that significantly elevated FOXM1 and PLK1 signaling⁹³. Therefore, FOXM1 is an attractive and potential target for anticancer therapeutics⁹³.

Transcription factors are traditionally thought to be “undruggable” because of transcription factor is an unapproachable nuclear event by therapeutic agents⁹⁴. We have a tool, small molecule inhibitor such as HL156 compound, to target FOXM1, a pleiotropic transcription factor that have multitude of oncogenic effects including angiogenesis, stemness, drug resistance, and EMT.

FOXM1 regulates a variety of biological processes through regulating the transcription of genes important for cell cycle progression, cell proliferation and survival, cell differentiation, DNA damage repair, angiogenesis, cell migration and chemotherapeutic drug response⁶⁸. FOXM1 is required for β -catenin nuclear accumulation in tumor cells, that nuclear FOXM1 and β -catenin form a functional complex with TCF4 on Wnt target-gene promoters, and that the functional interaction between FOXM1 and β -catenin promotes cancer cells self-renewal and tumorigenesis⁹⁵. Thus, it is tempted to target Wnt/ β -catenin signaling pathway of which aberrant activation is widespread in human cancers for therapeutic intervention by HL156. We also found that HL156 inhibits migration of gastric cancer cells (Supplementary Fig.1). The role for FOXM1 in the regulation of MMP-2 and MMP-9 expression which related with tumor invasion, metastasis and angiogenesis, is demonstrated in various cancers⁹⁶.

From meta-analysis of clinical trials of VEGF inhibitors other than bevacizumab, which include data on 4679 patients from 10 clinical trials, these drugs were associated with fatal adverse events at a rate that was about twice that seen in the placebo groups⁹⁷. There are similar data on bevacizumab, a monoclonal antibody that binds to VEGF. The data on bevacizumab came from a meta-analysis of clinical trials involving 10,217 patients, which found a significantly higher rate of fatal adverse events associated with bevacizumab than with chemotherapy alone. Based on our results, we anticipate that HL156, a new class angiogenesis inhibitor, has a competitive advantage over existing anti-angiogenic drugs in terms of toxicity and efficacy.

Our study suggest that therapeutic targeting of AMPK → CDK4/6 → FOXM1 → VEGFA signaling might be an effective strategy to block angiogenesis in gastric cancer cells, and that small molecule inhibitors should be tested in clinical trials against gastric cancer.

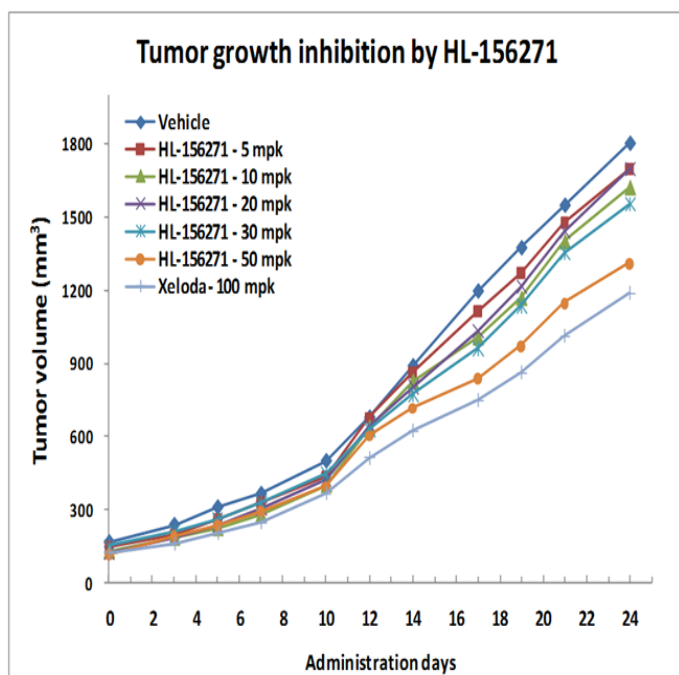
HL156 is a modified compound that is different from metformin with enhanced AMPK activation property. Recently repositioning and repurposing of biguanides to treat cancer is an emerging issue for cancer treatment ⁹⁸. HL156 has a documented preclinical safety and efficacy. Therefore, if we establish clinical anti-cancer efficacy of HL156, it will help to treat cancer patients more appropriately.

V. CONCLUSION

In conclusion, our data elucidate a novel molecular mechanism of new biguanide compounds in tumor suppression. We demonstrated that HL156 suppressed angiogenesis through down regulating major angiogenic factor VEGFA in human gastric cancer cells. The anti-angiogenic effect was mediated through AMPK activation which reduces FOXM1 by downregulation of CDK4/6. Our findings shed light on the application of AMPK activators in the treatment of human gastric cancer.

Pilot study

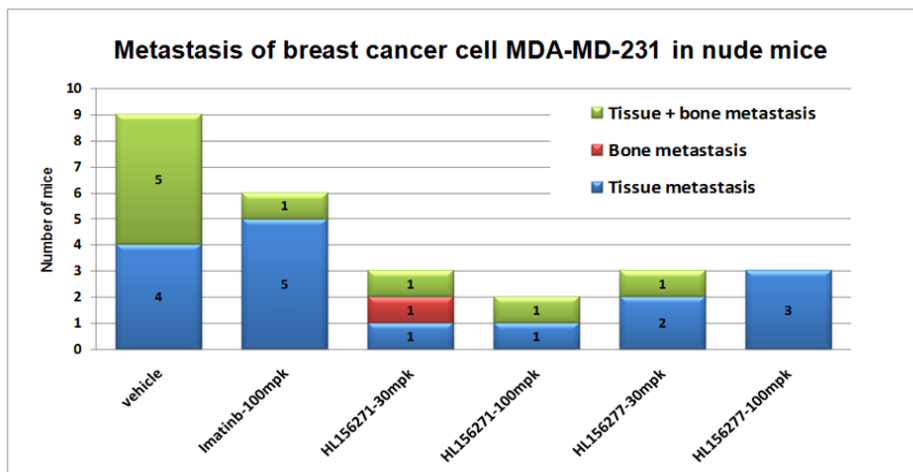
Xenograft model injected human colorectal carcinoma HCT116 cell in nude mice



Group	Tumor Growth Inhibition (%)
Vehicle	0.0
HL-156271 - 5mpk	5.8
HL-156271 - 10 mpk	9.9
HL-156271 - 20 mpk	5.7
HL-156271 - 30 mpk	13.9
HL-156271 - 50 mpk	27.1
Xeloda - 100 mpk	33.9

Pilot Study 1. HL156 compounds inhibit tumor growth *in vivo*

HL156 showed comparable tumor growth inhibition effect at lower dose (50mpk) compared to Xeloda (100mpk) that is an orally-administered chemotherapeutic agent used in the treatment of numerous cancers in xenograft model.

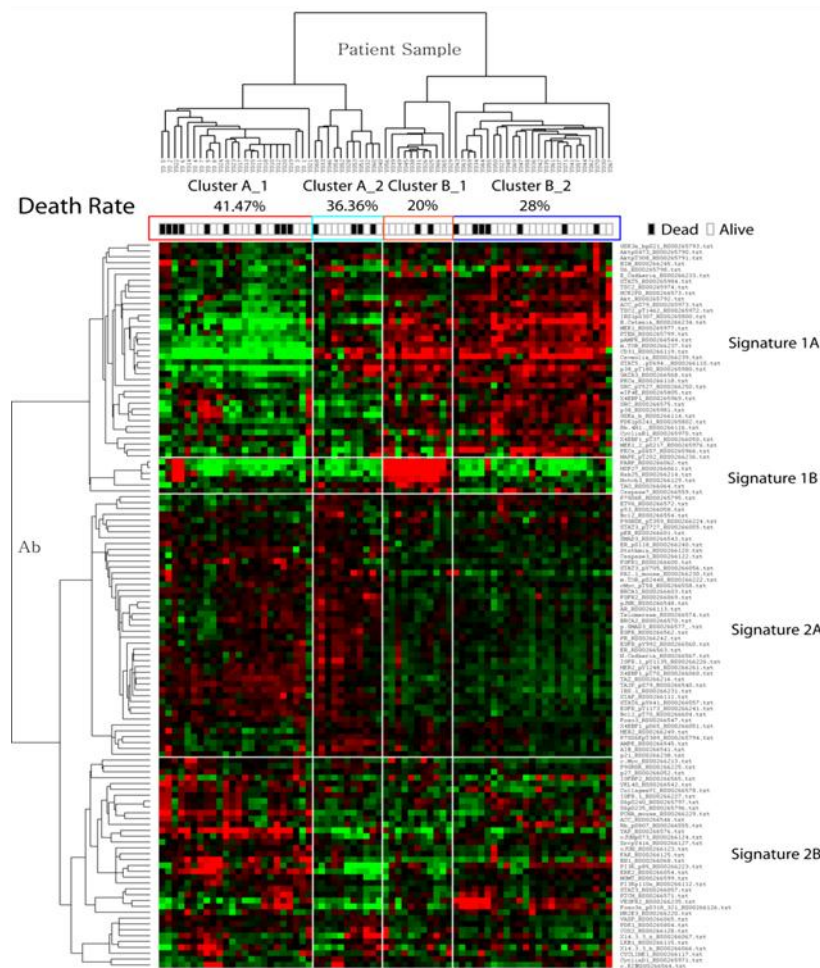


Item	Number of Metastasis					
Test Groups	Vehicle	Imatinib – 100 mpk	HL156271- 30mpk	HL156271 -100mpk	HL156271- 30mpk	HL15627 7-100mpk
Bone metastasis	5	1	2	1	1	0
Tissue metastasis	9	6	2	2	3	3
Tissue + Bone metastasis	5	1	1	1	1	0
Total	9	6	3	2	3	3

Pilot study 2. HL156 compounds inhibit tumor metastasis *in vivo*

HL156 showed more than 50% metastasis inhibition effect at lower dose compared to Imatinib (100mpk), marketed by Novartis as Gleevec, is a tyrosine-kinase inhibitor used in the treatment of multiple cancers.

RPPA clustering Analysis (70 Cancer patients, 125 Abs)

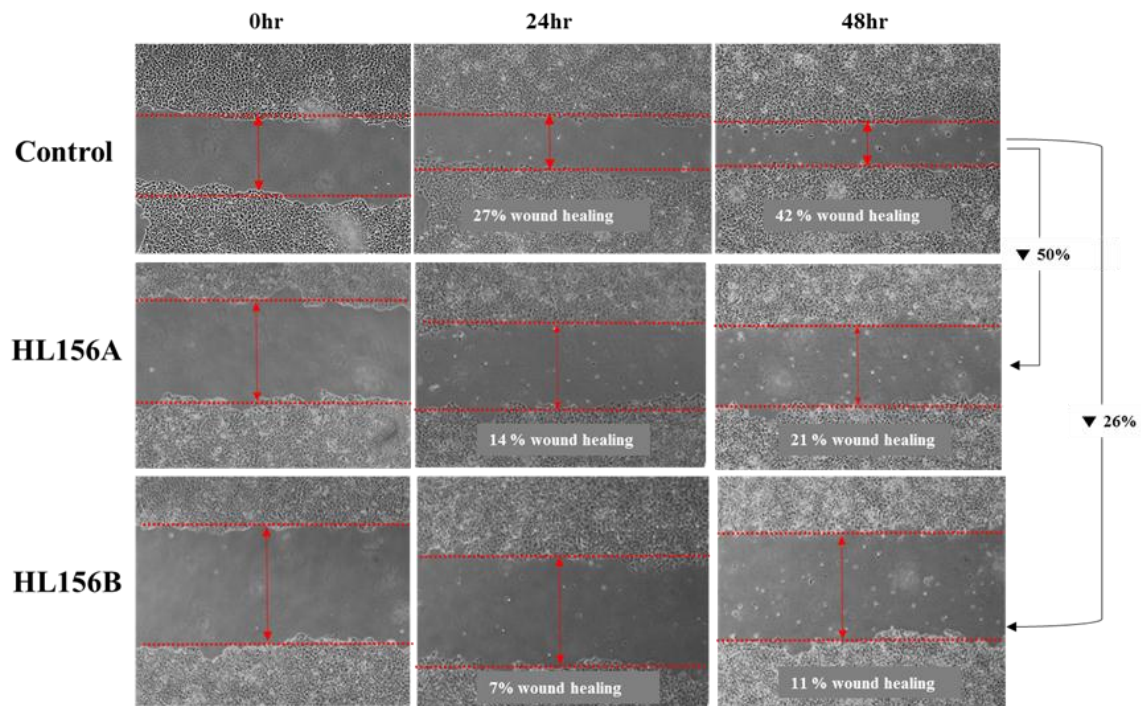


p-value	Hazard Ratio	Unique id
0.019	2.166	Aktps473
0.023	1.809	PAI.1
0.024	0.165	SMAD3
0.037	0.276	P70S6K
0.049	1.57	VEGFR2
0.051	1.52	Foxo3aps318

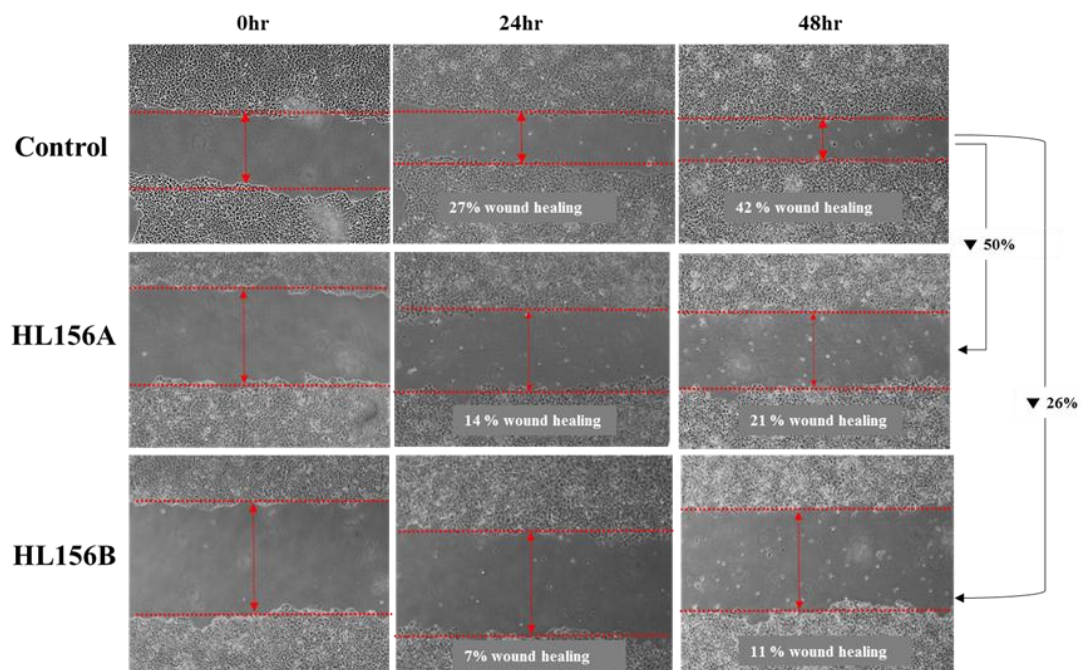
Pilot study 3. Analysis of Reverse phase protein expression array (RPPA) data from 70 gastric cancer patients indicated that p-AKT, PAI1, VEGFR2 and p-FOXO3a which related to angiogenesis increased in tumors of patients with poor prognosis.

Supplementary data

SNU668

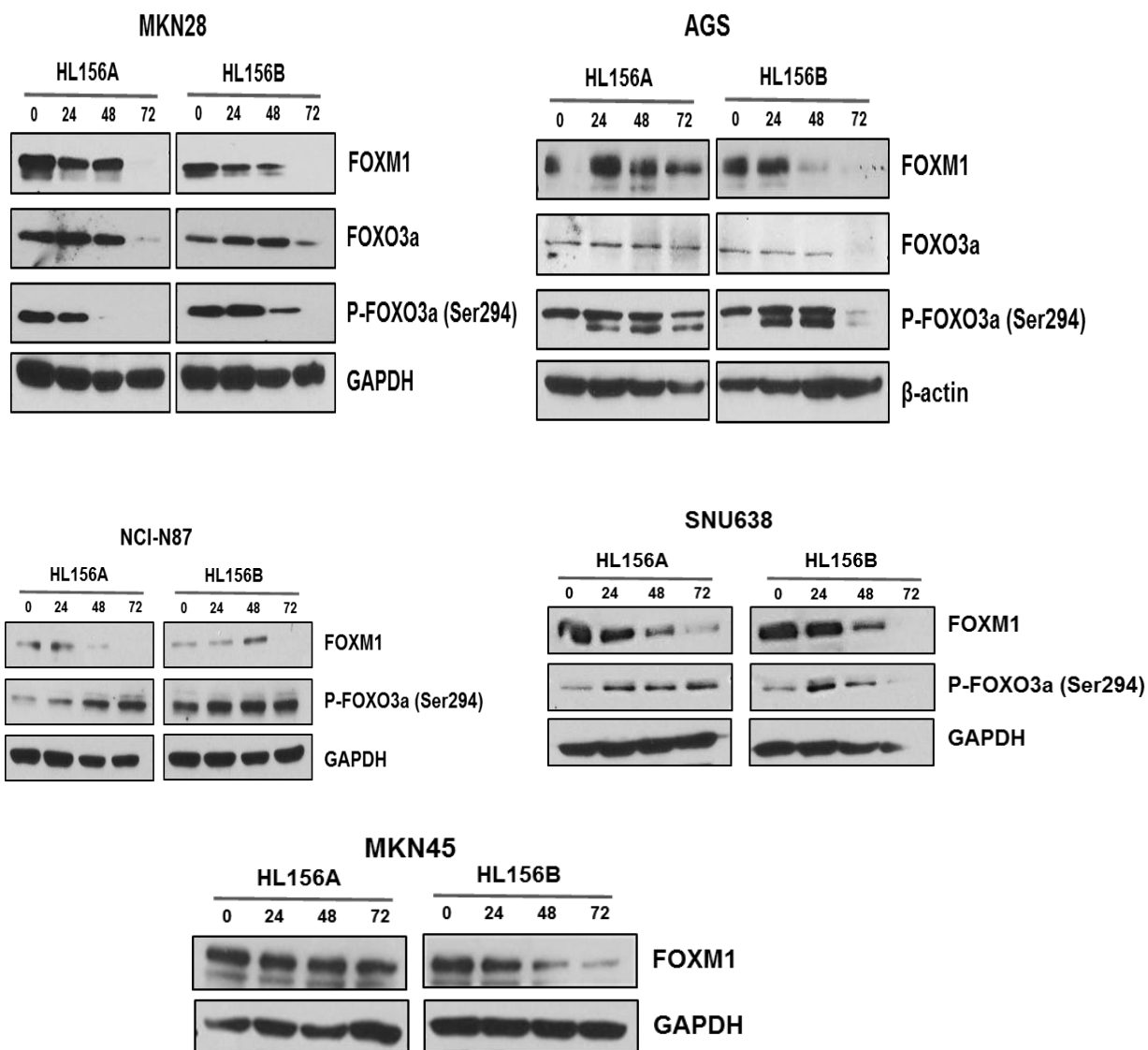


MKN28



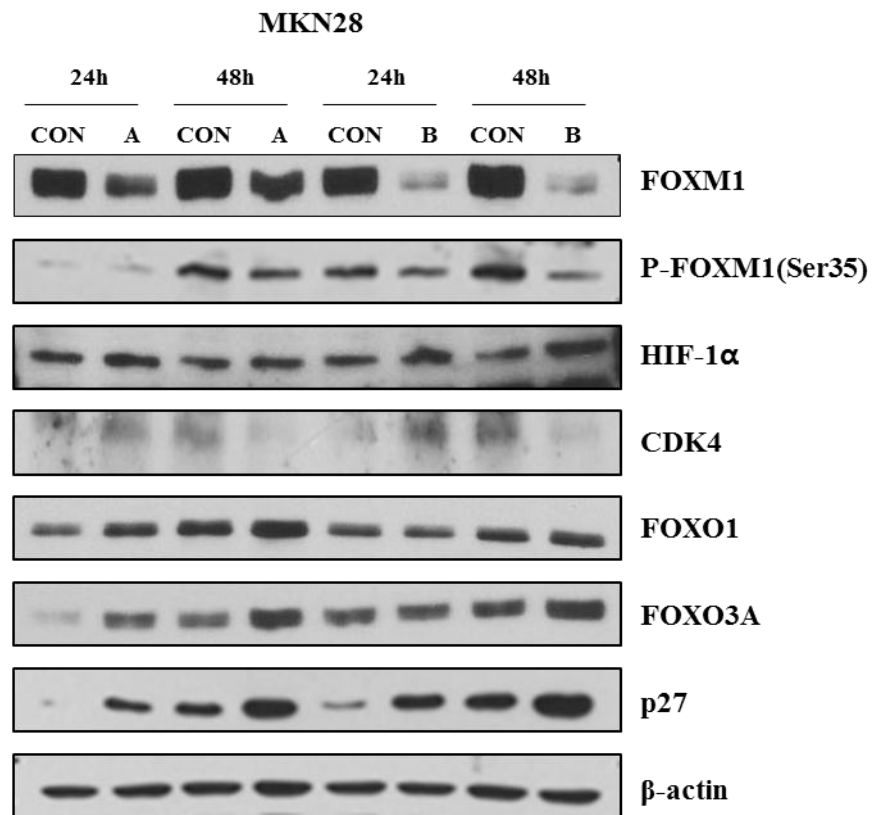
Supplementary Figure 1. HL156 compounds inhibit migration of gastric cancer cells

Wound healing assay for migration was carried out by scratching the cells with yellow tip when gastric cancer cells grew into monolayer. Then, cells incubated with the medium with or without HL156 (30 μ M) and allowed to migrate into the scratched area for 24 and 48 hours. The arrow showed the gap of scratched area and the percentage of migrating cells in wound healing assay quantified.



Supplementary Figure 2. HL156 compounds repress expression of FOXM1 in several gastric cancer cell lines.

We tested HL156 not only for MKN28 and AGS cells but also for other gastric cancer lineages including NCI-N87, SNU638, and MKN45. We performed immunoblotting with FOXM1 and FOXO3A. The expression of FOXO3A was variable depending on cell lineages, but, expression of FOXM1 distinctly decreased in all of cell lineages. Thus, we assured that FOXM1 is a target of HL156.



Supplementary Figure 3. HL156 influences the expression of various protein levels in MKN28 cells. MKN28, a model cell line, is sensitive to HL156, especially to HL156B. Of note, the results showed that FOXM1, phosphor FOXM1(Ser35), and CDK4 were coordinately down-regulated.

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ABSTRACT (IN KOREA)

위암 세포에서 새로운 메트포르민 유도체 HL156 화합물의

AMPK 경유 FOXM1 억제에 의한 항신생혈관 효과

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최혜지

메트포르민은 널리 사용되고 있는 바이구아니드계 경구형 당뇨 치료제로서 최근에 항암제로서의 가능성이 제시되고 있다. 하지만 메트포르민의 항암효과의 작용기전은 분자적 수준에서 잘 밝혀져 있지 않다. HL156 화합물은 메트포르민 분자식을 바탕으로 개발된 바이구아니드계 유도체로서 다양한 암세포주 대상 선행 실험 결과에서 메트포르민보다 증가된 AMPK 활성화 효과 및 더 뛰어난 항암효과를 보여주었다.

선행연구로 수행된 위암환자 종양조직의 단백질 분석 (reverse phase protein array, RPPA) 결과 신생혈관 관련 단백질 발현과 환자 예후 사이에 유의한 상관 관계가 있음을 확인하였다. 또한 마우스 종양 모델에서 HL156 화합물의 전이 억제효과에 기반하여, 저자는 위암세포모델에서 암전이의 필수단계인 혈관신생 억제에 대한 HL156 화합물의 효과를 규명하기 위한 연구를 수행하였다. 위암세포에 HL156 화합물을 처리해서 얻은 조건화 배지를 이용하여 시험관상에서 혈관 내피 세포의 신생혈관이 억제되는 것을 확인하였다. 또한 조건화 배지에서 주요 신생혈관 유도인자인 VEGFA 의 발현 및 분비량이 유의하게 감소하는 것을 ELISA 를 통해 확인하였다. 나아가 HL156 처리시 위암세포에서 AMPK 활성을 통해 VEGFA 유전자 발현을 조절하는 종양형성전사인자인 FOXM1 발현이 감소하는 것을 확인하였다. 이러한 효과는 FOXM1 을 인산화 시키는 CDK4/6 키나제가 발현 억제되어 생물학적으로 안정된 형태의 phospho-FOXM1 발현 수준 저하와 상관되어있음을 확인 하였다. 마지막으로 electrophoretic mobility shift assay (EMSA) 를 통해 HL156 처리시 FOXM1 의 VEGFA 프로모터 결합이 억제됨을 확인하였다. 결론적으로 본 연구 결과는 HL156 화합물에 의한 세포내 AMPK 활성이 CDK4/6 발현을 억제하여 종양형성전사인자인 FOXM1 의 탈인산화 및 핵내 발현을 감소시키므로써 표적 유전자인 VEGFA 발현 억제를 통해 혈관신생을 억제하는 새로운 분자 기전을 제시한다.

핵심되는 말 : HL156, 신생혈관 , gastric cancer, ampk, foxm1, cdk4/6